

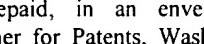


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3713

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application Of: ) Atty. Docket No.: 47079-0117  
Shridhar P. Joshi ) Examiner: Alex P. Rada  
Application No.: 09/965,163 ) Art Unit: 3713  
Filed: September 27, 2001 )  
For: GAMING MACHINE WITH )  
SWEEPSTAKES ENTRY )  
DISPENSER )  
CERTIFICATE OF MAILING  
I hereby certify that this correspondence is being  
mailed to the above named patent attorney or agent  
with the United States Postal Service as first  
class mail, postage prepaid, in an envelope addressed  
to the U.S. Patent and Trademark Office, Attn:  
Commissioner for Patents, Washington, D.C.  
April 30, 2002.  
Signature: 

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on April 30, 2002.

Signature: *Wendy Howitt*  
Wendy Howitt

## **RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is in response to the "Notice of Non-Compliant Amendment" dated April 10, 2002, a copy of which is enclosed. The period for response is one month from the mailing date, i.e., by May 10, 2002, and this response is being submitted within that time period.

Applicants resubmit herewith the Reply to Office Action originally filed on March 6, 2002, along with the requested clean set of claims.

Respectfully submitted,

Michael Blasberg

Date: April 30, 2002

Michael J. Blankstein  
Reg. No. 37,097  
WMS Gaming Inc.  
800 South Northpoint Blvd.  
Waukegan, Illinois 60085  
(773) 961-1480  
Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,163	09/27/2001	Shridhar P. Joshi	47079-0117	3932

7590                    04/10/2002  
Michael J. Blankstein  
WMS Gaming Inc.  
800 South Northpoint Boulevard  
Waukegan, IL 60085

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EXAMINER	RECEIVED
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RADA, ALEX-P

ART UNIT	PAPER NUMBER
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3713

DATE MAILED: 04/10/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

WMS INDUSTRIES INC.  
APR 15 2002  
LAW DEPT.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENT  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20591  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO
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MAY 10 2002

PATENT &amp; TRADEMARK

EXAMINER

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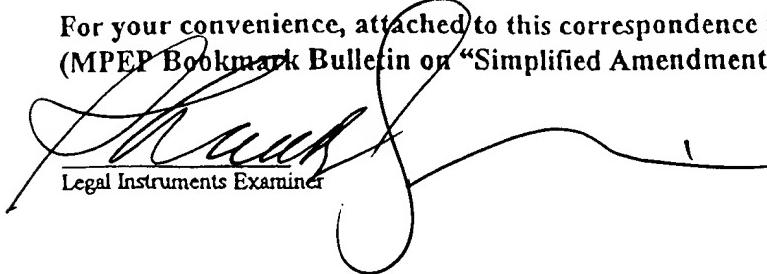
ART UNIT	PAPER NUMBER
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## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 03/15/02 is considered non-compliant because it has not been submitted in the format required under 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000).

1. The amendment does not include a clean version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(ii).
2. The amendment does not include a marked-up version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(iii)
3. The amendment does not include a clean version of the amended claim(s). 37 CFR 1.121(c)(1)(i)
4. The amendment does not include a marked-up version of the amended claim(s). 37 CFR 1.121(c)(1)(ii)
5. Other Please Resubmit the Clean & Markup copy of Amended Claims
- PRELIMINARY AMENDMENT: Unless applicant re-submits the preliminary amendment in compliance with revised 37 CFR 1.121 within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- AMENDMENT AFTER NON-FINAL ACTION: Since the above mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

For your convenience, attached to this correspondence is a copy of an informational flyer (MPEP Bookmark Bulletin on "Simplified Amendment Practice").

  
Legal Instruments Examiner